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TRI-CONTINENTAL CORP

Form 3

November 17, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Johnson Amy K.

(Last)

(First)

(Middle)

Statement

OM 41/D

(Month/Day/Year)

11/07/2008

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TRI-CONTINENTAL CORP [TY]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Vice President of Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

5528 AMERIPRISE FINANCIAL CENTER

(Street)

____ Director ____ 10% Owner __X__ Officer ____ Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

___ Form filed by More than One

Reporting Person

MINNEAPOLIS, MNÂ 55474

(City) (State) (Zip)

1.Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

Ownership Form: Direct (D)

or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

Ownership (Instr. 5)

y

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

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SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

Ownership Form of Derivative 6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Title

Amount or Number of Shares Derivative Security

Direct (D) or Indirect (I)

Security:

(Instr. 5)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Johnson Amy K. 5528 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474 | Â | Â | Vice President of Issuer | Â |

Signatures

Joseph D'Alessandro, power of attorney for Amy K. Johnson

11/17/2008

**Signature of Reporting Person

Date

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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