

VIALTA INC
Form 3
October 13, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â CHAN ANNIE M H
(Last) (First) (Middle)

19770 STEVENS CREEK BLVD.

(Street)

CUPERTINO,Â CAÂ 95014

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
08/21/2001

3. Issuer Name and Ticker or Trading Symbol
VIALTA INC [VLTA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer ___ Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Class A Common Stock | 13,628,050 ⁽¹⁾ | D ⁽²⁾ | Â |
| Class A Common Stock | 637,084 ⁽¹⁾ | I ⁽³⁾ | See Footnote |
| Class A Common Stock | 962,832 ⁽¹⁾ | I ⁽⁴⁾ ⁽¹⁰⁾ | See Footnotes |
| Class A Common Stock | 962,830 ⁽¹⁾ | I ⁽⁵⁾ ⁽¹⁰⁾ | See Footnotes |
| Class A Common Stock | 330,960 ⁽¹⁾ | I ⁽⁶⁾ ⁽¹⁰⁾ | See Footnotes |
| Class A Common Stock | 2,094,268 ⁽¹⁾ | I ⁽⁷⁾ ⁽¹⁰⁾ | See Footnotes |
| Class A Common Stock | 4,400,000 ⁽¹⁾ | I ⁽⁸⁾ | See Footnote |
| Class A Common Stock | 8,800,000 ⁽¹⁾ | I ⁽⁹⁾ | See Footnote |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|-----------------|--|----------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Non-qualified Stock Option | 07/03/2001 ⁽¹¹⁾ | 07/03/2006 | Common Stock | 401,880 ⁽¹⁾ | \$ 0 | I | Spouse - Fred S.L. Chan |
| Non-qualified Stock Option | 01/03/2003 ⁽¹²⁾ | 01/03/2011 | Common Stock | 295,500 ⁽¹⁾ | \$ 0 | I | Spouse - Fred S.L. Chan |
| Incentive Stock Option | 09/01/2003 ⁽¹³⁾ | 10/05/2009 | Common Stock | 1,000,000 ⁽¹⁾ | \$ 0.275 | I | Spouse - Fred S.L. Chan |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CHAN ANNIE M H 19770 STEVENS CREEK BLVD. CUPERTINO, CA 95014 | Â | Â X | Â | Â |

Signatures

/s/ Annie M.H.
Chan

10/12/2005

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares previously reported on Form 3 of Fred S.L. Chan filed July 24, 2001, as amended on August 13, 2002, and/or Form 4 of Fred S.L. Chan filed September 10, 2001, as amended on August 13, 2002.
- (2) Shares held by Mrs. Chan, of which 8,042,932 were transferred to Annie M.H. Chan TR UA 07-25-95, the Annie M.H. Chan Living Trust.
- (3) Shares held by Mr. Fred S.L. Chan, the spouse of Annie M.H. Chan. Mrs. Chan disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest.
- (4) The reported securities were held directly by the David Y.W. Chan Trust UA DTD 12-21-87 (the "David Chan Trust?").
- (5) The reported securities were held directly by the Edward Y.C. Chan Trust UA DTD 12-21-87 (the "Edward Chan Trust?").

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- (6) The reported securities were held directly by the Michael Y.J. Chan Trust UA DTD 3-16-92 (the "Michael Chan Trust").
- (7) The reported securities were held directly by the Shiu Leung Chan & Annie M.H. Chan Gift Trust Dated 11/20/92 (the "Chan Gift Trust").
- (8) The reported securities were held directly by Fred Chan, the spouse of Mrs. Chan. Mrs. Chan disclaims beneficial ownership of these securities except to the extent of any pecuniary interest.
- (9) The reported securities were held directly by Evershine XVI, L.P., of which Mr. and Mrs. Chan are the managing members of the sole general partner. Except to the extent of any indirect pecuniary interest therein, Mrs. Chan disclaims beneficial ownership of these securities.
- (10) The David Chan Trust, Edward Chan Trust, Michael Chan Trust and Chan Gift Trust were established by F. Chan and/or A. Chan for the benefit of their children. Mr. and Mrs. Chan are not trustees of these trusts and disclaim beneficial ownership of and any pecuniary interest in these securities.
- (11) These options vested over one year in equal monthly installments with the vesting commencement date as noted.
- (12) These options vested over four years in equal monthly installments with the vesting commencement date as noted.
- (13) These options vested in full one year from the vesting commencement date as noted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.