

COLUMBUS MCKINNON CORP

Form 4

July 24, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RABINOWITZ STEPHEN

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/22/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)			
			Code	V	Amount		Price
Common Stock	07/22/2015		M	327 ⁽¹⁾	A	\$ 0	29,573
Common Stock	07/23/2015		M	327 ⁽²⁾	A	\$ 0	29,900
Common Stock							30,227

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Units	(3)	07/22/2015		M	327.482	(4) (4)	Common Stock 327.482
Restricted Stock Units	(3)	07/23/2015		M	327.482	(5) (5)	Common Stock 327.482
Restricted Stock Units	(3)					(6) (6)	Common Stock 1,509.242

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RABINOWITZ STEPHEN 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	X

Signatures

Mary C. O'Connor, Power of Attorney for Stephen Rabinowitz
07/23/2015

____Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 327.482 restricted stock units became fully vested on July 22, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.37.
- (2) 327.482 restricted stock units became fully vested on July 23, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.19.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (4)

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Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 22, 2014, 327.482 restricted stock units became fully vested and non-forfeitable on July 22, 2015. The remaining restricted stock units become fully vested and non-forfeitable on July 22, 2016, if reporting person remains a director of issuer.

- (5) Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013, 325 restricted stock units became fully vested and non-forfeitable on July 23, 2014 and 327.482 restricted stock units became fully vested and non-forfeitable on July 23, 2015.
- (6) Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 28, 2015, 25% on July 28, 2016 and 25% on July 28, 2017, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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